

091-20688

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response. . . .	3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Cboe EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

3. Class of New Derivative Securities Product:  
See Attached.

SEC Mail Processing

4. Name of Underlying Instrument:  
See Attached.

DEC 16 2019

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based  
See Attached.

Washington, DC

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.



9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

19004392

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Kyle Murray

DEC 16 2019

Title: VP, Associate General Counsel

RECEIVED

Telephone Number: 913-815-7121

Manual Signature of Official Responsible for Form:

Date: December 13, 2019

Act	Securities Exchange Act of 1934
Section	
Rule	
Public Availability:	DEC 16 2019

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDPs") TRADED ON CBOE EDGX EXCHANGE, INC. COMMENCING ON  
 DECEMBER 10, 2019

<b><u>(6) Ticker Symbol</u></b>	<b><u>(2) Type of Issuer of NDSP</u></b>	<b><u>(3) Class of NDSP</u></b>	<b><u>(4) Name of Underlying Instrument</u></b>	<b><u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u></b>	<b><u>(5) Broad or Narrow</u></b>
KDFI	Trust	Investment Company Units	FTSE US High-Yield Treasury Rotation Index	World	Broad



SEC Mail Processing

DEC 16 2019

Washington, DC

December 13, 2019

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) - Cboe EDGX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Cboe EDGX Exchange on December 10, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

Kyle Murray  
VP, Associate General Counsel  
913.815.7121

Securities and Exchange Commission  
Trading and Markets

DEC 16 2019

RECEIVED